

Please type a plus sign (+) inside this box



PTO/SB/21(08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/938,956
		Filing Date	AUGUST 24, 2001
		First Named Inventor	DICOSIMO ET AL.
		Group Art Unit	1645
		Examiner Name	UNKNOWN
Total Number of Pages in This Submission		Attorney Docket Number	CL1809 US NA

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney – 4 SHEETS <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration (37 CFR 1.63), COPY OF NOTICE TO FILE MISSING PARTS
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	S. NEIL FELTHAM	
Signature		
Date	10/26/01	

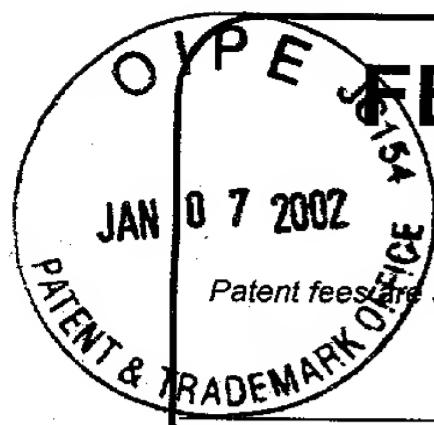
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	MARY BETH PITCHER		
Signature		Date	10/26/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



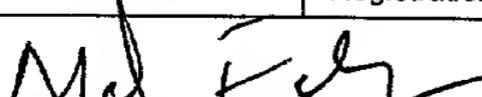
Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 130)

Complete if Known	
Application Number	09/938,956
Filing Date	August 24, 2001
First Named Inventor	DiCosimo et al.
Examiner Name	Unknown
Group / Art Unit	1645
Attorney Docket No.	CL1809 US NA

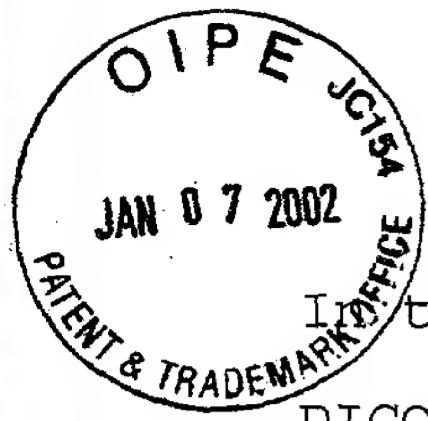
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																			
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: <input type="text"/> 04-1928				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2">Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th></th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td>Petition to revive – unintentional</td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td>Design issue fee</td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>740</td><td>279</td><td>375</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) (\$ 130)</td> </tr> </tbody> </table>				Large Entity	Small Entity	Fee Description		Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)		105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	139	130	139	130	Non-English specification	147	2,520	147	2,520	For filing a request for reexamination	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	116	400	216	200	Extension for reply within second month	117	920	217	460	Extension for reply within third month	118	1,440	218	720	Extension for reply within fourth month	128	1,960	228	980	Extension for reply within fifth month	119	320	219	160	Notice of Appeal	120	320	220	160	Filing a brief in support of an appeal	121	280	221	140	Request for oral hearing	138	1,510	138	1,510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive – unavoidable	141	1,280	241	640	Petition to revive – unintentional	142	1,280	242	640	Utility issue fee (or reissue)	143	460	243	230	Design issue fee	144	620	244	310	Plant issue fee	122	130	122	130	Petitions to the Commissioner	123	50	123	50	Processing fee under 37 CFR 1.17(q)	126	180	126	180	Submission of Information Disclosure Stmt	581	40	581	40	Recording each patent assignment per property (times number of properties)	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	179	740	279	375	Request for Continued Examination (RCE)	169	900	169	900	Request for expedited examination of a design application	Other fee (specify) _____					*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 130)
Large Entity	Small Entity	Fee Description		Fee Paid																																																																																																																																																																			
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																				
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																			
139	130	139	130	Non-English specification																																																																																																																																																																			
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																			
115	110	215	55	Extension for reply within first month																																																																																																																																																																			
116	400	216	200	Extension for reply within second month																																																																																																																																																																			
117	920	217	460	Extension for reply within third month																																																																																																																																																																			
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																			
128	1,960	228	980	Extension for reply within fifth month																																																																																																																																																																			
119	320	219	160	Notice of Appeal																																																																																																																																																																			
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																			
121	280	221	140	Request for oral hearing																																																																																																																																																																			
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																			
140	110	240	55	Petition to revive – unavoidable																																																																																																																																																																			
141	1,280	241	640	Petition to revive – unintentional																																																																																																																																																																			
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																			
143	460	243	230	Design issue fee																																																																																																																																																																			
144	620	244	310	Plant issue fee																																																																																																																																																																			
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																			
123	50	123	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																			
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																			
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																			
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																			
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																			
179	740	279	375	Request for Continued Examination (RCE)																																																																																																																																																																			
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																			
Other fee (specify) _____																																																																																																																																																																							
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 130)																																																																																																																																																																			
1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr><td>101</td><td>740</td><td>201</td><td>370</td><td>Utility filing fee</td></tr> <tr><td>106</td><td>330</td><td>206</td><td>165</td><td>Design filing fee</td></tr> <tr><td>107</td><td>510</td><td>207</td><td>255</td><td>Plant filing fee</td></tr> <tr><td>108</td><td>740</td><td>208</td><td>370</td><td>Reissue filing fee</td></tr> <tr><td>114</td><td>160</td><td>214</td><td>80</td><td>Provisional filing fee</td></tr> </tbody> </table>				Large Entity	Small Entity	Fee Description	Fee Paid	Fee Code	Fee (\$)	Fee Code	Fee (\$)	101	740	201	370	Utility filing fee	106	330	206	165	Design filing fee	107	510	207	255	Plant filing fee	108	740	208	370	Reissue filing fee	114	160	214	80	Provisional filing fee	SUBTOTAL (1) (\$ 0)																																																																																																																																		
Large Entity	Small Entity	Fee Description	Fee Paid																																																																																																																																																																				
Fee Code	Fee (\$)	Fee Code	Fee (\$)																																																																																																																																																																				
101	740	201	370	Utility filing fee																																																																																																																																																																			
106	330	206	165	Design filing fee																																																																																																																																																																			
107	510	207	255	Plant filing fee																																																																																																																																																																			
108	740	208	370	Reissue filing fee																																																																																																																																																																			
114	160	214	80	Provisional filing fee																																																																																																																																																																			
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <th></th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr><td></td><td>-20</td><td>= 0</td><td>X 18 = 0</td></tr> <tr><td>Total Claims</td><td></td><td></td><td></td></tr> <tr><td>Independent Claims</td><td>-3</td><td>= 0</td><td>X 84 = 0</td></tr> <tr><td>Multiple Dependent</td><td></td><td></td><td>X 280 = 0</td></tr> </tbody> </table>				Total Claims	Extra Claims	Fee from below	Fee Paid						-20	= 0	X 18 = 0	Total Claims				Independent Claims	-3	= 0	X 84 = 0	Multiple Dependent			X 280 = 0	SUBTOTAL (2) (\$ 0)																																																																																																																																											
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																				
	-20	= 0	X 18 = 0																																																																																																																																																																				
Total Claims																																																																																																																																																																							
Independent Claims	-3	= 0	X 84 = 0																																																																																																																																																																				
Multiple Dependent			X 280 = 0																																																																																																																																																																				

**or number previously paid, if greater, For Reissues, see above

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	S. NEIL FELTHAM	Registration No. Attorney/Agent)	36, 506	Telephone	302-992-6460
Signature				Date	10/26/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

Sector
IV \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

DICOSIMO ET AL.

CASE NO.: CL1809 US NA

APPLICATION NO.: 09/938,956

GROUP ART UNIT: 1645

FILED: AUGUST 24, 2001

EXAMINER: UNKNOWN

FOR: PRODUCTION OF CYCLIC TERPENOIDS

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION-FILING DATE GRANTED**

Assistant Commissioner for Patents
Washington, DC 20231

Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application mailed October 19, 2001 (a copy of which is attached), enclosed is a Declaration for the above-referenced application. Please charge the \$130.00 surcharge fee applicable for filing the Declaration on a date later than the filing date to Deposit Account 04-1928 (E. I. du Pont de Nemours and Company). If the fee is insufficient or incorrect, please charge or credit the balance to the above-identified account.

Respectfully submitted,

S. NEIL FELTHAM
ATTORNEY FOR APPLICANTS
REGISTRATION NO. 36,506
TELEPHONE: 302-992-6460
FACSIMILE: 302-892-7949

Dated: 10/26/01